

Electronic Acknowledgement Receipt

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Application Number:	10767649
International Application Number:	
Confirmation Number:	5572
Title of Invention:	System and method for matching trading orders
First Named Inventor/Applicant Name:	Thomas J. Daley
Customer Number:	63710
Filer:	Glen Farbanish/LAURA ALTO
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Attorney Docket Number:	04-6176
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Application Type:	Utility under 35 USC 111(a)

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	04-6176_100219_IDS.pdf	43258 6389233644c6ebc7d25a50529cff75c6ee24 920b	no	5

Warnings:

Information:

2	Information Disclosure Statement (IDS) Filed (SB/08)	04-6176_100219_1449.pdf	39752 39325d17ffd798365ef4091f918f4e3c43571 aee	no	3
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3	NPL Documents	60-706109_AP_08-05-2005.pdf	1836100 3484cd87343ddfb934b1c228e81c676b509 83df1	no	43
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4	Foreign Reference	WO0193169A1.pdf	5515677 6910b9adc4215cebc2dc58d6ff30f3aa8f842 080	no	113
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5	NPL Documents	11-499833_OA_05-19-2008.pdf	347472 fcaa02b4e5c0415a50fc248ab90ab9ef4bd7 c13d	no	12
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6	NPL Documents	11-499833_OA_11-14-2008.pdf	437637 1a4ee0f96ab65fc77c8a86921222dcdf5f3fa b76	no	14
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7	NPL Documents	11-499833_OA_08-18-2009.pdf	451799 4dbc575091f55007cbac7b6f2afdd3838038 1b15	no	15
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8	NPL Documents	10-766965_OA_11-21-2008.pdf	610647 2b7b3c108405801af09df7e52796c7e2f300 54c4	no	20
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9	NPL Documents	11-499496_OA_05-01-2008.pdf	351266 4bd9ae2412694b3ee63bb2c7b323469bd6 db12b9	no	12
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10	NPL Documents	AU2006278384_Examiners_Report_08-05-2009.pdf	181574 4143d86584ec89ff7bd2c656ae2841ae2 5abd	no	3

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11	NPL Documents	10-767546_OA_10-07-2009.pdf	660473 558f911a2477815cc8c0ff3c453040f663018 887	no	19
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12	NPL Documents	10-767588_OA_10-27-2009.pdf	218987 2925782365bb8ed95c24e934e1b0f52fdaf 2c5d8	no	6
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13	NPL Documents	10-767699_OA_10-20-2008.pdf	133427 afc7dd9c9f07970280cf9ce0cdfac9a0bf7 ad6	no	3
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14	NPL Documents	10-767649_EXIN_06-23-2009. pdf	60086 c45be3b3d0b992acd08e1ae34f957d8a472 ad813	no	2
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15	NPL Documents	11-499833_EXIN_09-03-2009. pdf	63933 da80e4f8d78b91cbdd70b77361cbd4655 1cf8e4	no	2
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16	NPL Documents	11-499496_EXIN_07-27-2009. pdf	168653 ae4e7a3dfb4f649521d84b52814d9206da3 e4f9b	no	4
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17	NPL Documents	AU2005208980_Examiners_Re port_11-17-2009.pdf	148395 9a1678938e07d86c0d5656a551d4e8645ef 2846d	no	2
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18	NPL Documents	AU2005208978_Examiners_Re port_11-26-2009.pdf	72721 30a1e96a176171c72366bb8f5a06cb39bb8 00e85	no	1
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20	NPL Documents	10-767649_OA_08-27-2009.pdf	703229 8a9eeb8c15c839c0df9cc90d347c3f2ec16e 30ec	no	20
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21	NPL Documents	10-767699_EXIN_12-23-2009.pdf	160235 2a27ea810c661ce1f862b9e1fa2b95e50c3d 8141	no	3
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22	NPL Documents	10-767699_Ex_Answer_App_Brief_12-24-2009.pdf	525562 b43a949331a267103f91ca64834fd9de2cb 668be	no	16
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23	NPL Documents	10-766965_Ex_Answer_App_Brief_01-20-2010.pdf	569468 28af728ce2945c62bb8ef2411c3949d37439 fc31	no	19
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24	NPL Documents	11-499496_NOA_02-17-2010.pdf	3892224 383d478b348d055d5cccd690d51823bd1c4 744f3c	no	89
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25	NPL Documents	Regulation_of_Specialists_Business_Lawyer_11-2005.pdf	5323319 a85b220bd8dcbe28cae5fc22fb5b36df8 e0dd	no	71
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26	NPL Documents	Hybrid_Approach_NYSE_12-09-2004.pdf	488822 0a1fa81971ab676618f44b4e66b7f3aa095 872d	no	7
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Total Files Size (in bytes):				23188434	

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.